Shivam Gupta

College of Business, University of Nebraska-Lincoln Email: sgupta7@unl.edu

EDUCATION

2016 Ph.D. in Management Science

University of Texas at Dallas, USA

2011 Master of Technology in Civil Engineering

Indian Institute of Technology Kanpur, India

2007 Bachelor of Technology in Civil Engineering

Indian Institute of Technology Kanpur, India

EXPERIENCE

Academic Experience

2018-current: Assistant Professor, College of Business, University of Nebraska-Lincoln, USA.

2016–2018: Assistant Professor, McCoy College of Business, Texas State University, USA.

Professional Experience

2007–2008: Assistant Project Manager, Jones Lang LaSalle Meghraj Consultants, India.

RESEARCH

Articles in Refereed Journals

- [J1] 2023. Shivam Gupta, Saurabh Bansal, Milind Dawande, Ganesh Janakiraman. Trust-and-Evaluate: A Dynamic Non-Monetary Mechanism for Internal Capital Allocation. To appear in Management Science.
- [J2] 2023. Shivam Gupta, Anupam Agrawal, Jennifer K. Ryan. Agile Contracting: Managing Incentives under Uncertain Needs. Production and Operations Management 32(3) 972– 988. http://doi.org/10.1111/poms.13909
- [J3] **2023**. Shivam Gupta, Wei Chen, Milind Dawande, Ganesh Janakiraman. Three Years, Two Papers, One Course-Off: Optimal Non-Monetary Reward Policies. **Management Science** 69(5) 2852–2869. https://doi.org/10.1287/mnsc.2022.4482
- [J4] 2022. Shivam Gupta, Saurabh Bansal. Optimal Market Integration Decisions by Policy Makers: Modeling and Analysis of Agriculture Market Data. Operations Research 70(1) 352-362. https://doi.org/10.1287/opre.2021.2191

- [J5] **2021.** Shivam Gupta, Shouqiang Wang, Milind Dawande, Ganesh Janakiraman. Procurement with Cost and Non-Cost Attributes: Cost-Sharing Mechanisms. **Operations Research** 69(5) 1349–1367. https://doi.org/10.1287/opre.2020.2060
- [J6] **2017**. Shivam Gupta, Milind Dawande, Ganesh Janakiraman, Ashutosh Sarkar. Distressed Selling by Farmers: Model, Analysis, and Use in Policy-Making. **Production and Operations Management** 26(10) 1803–1818. https://doi.org/10.1111/poms.12726
 - o First Place in the INFORMS Public Sector OR Best Paper Competition 2015.
 - Finalist in the POMS SCM Student Paper Competition 2016.
- [J7] **2016**. Shivam Gupta. On a Modification of the VCG Mechanism and Its Optimality. **Operations Research Letters**¹ 44(3) 415–418. https://doi.org/10.1016/j.orl.2016.04.003
- [J8] **2015**. Shivam Gupta, Wei Chen, Milind Dawande, Ganesh Janakiraman. Optimal Descending Mechanisms for Constrained Procurement. **Production and Operations Management** 24(12) 1955–1965. https://doi.org/10.1111/poms.12385
- [J9] 2013. Kalyanmoy Deb, Shivam Gupta, Joydeep Dutta, Bhoomija Ranjan. Solving Dual Problems Using a Coevolutionary Optimization Algorithm. Journal of Global Optimization 57(3) 891–933. https://doi.org/10.1007/s10898-012-9981-5
- [J10] **2011.** Kalyanmoy Deb, Shivam Gupta. Understanding Knee-points in Bi-criteria Problems and Their Implications as Preferred Solution Principles. **Engineering Optimization** 43(11) 1175–1204. https://doi.org/10.1080/0305215X.2010.548863

Under Review/Revision

[W1] Like Bu, Shivam Gupta, Milind Dawande, Ganesh Janakiraman. Combating Overbilling in Outsourced Projects: A Dynamic Auditing Mechanism. Under review in Management Science.

¹The journal Operations Research Letters is rated as "A" journal (the second-highest category) in the Australian Business Deans Council (ABDC) list (see https://abdc.edu.au/abdc-journal-quality-list). Further, Journal of Global Optimization and Engineering Optimization are rated as "A" journal (the second-highest category) and "B" journal, respectively, in the Australian Mathematical Society's list of Applied Maths journals (see https://austms.org.au/Rankings/0103_AustMS_final_ranked.html).

Invited Research Seminars at Universities

Three Years, Two Papers, One Course-Off: Optimal Non-Monetary Reward Policies

• School of Business, University of Kansas (2022)

Procurement with Cost and Non-Cost Attributes: Cost-Sharing Mechanisms

- College of Business, University of Nebraska Lincoln (2017)
- Fox School of Business, Temple University (2017)

Distressed Selling by Farmers: Model, Analysis and Use in Policy-Making

- School of Business, University of Pittsburgh (2016)
- McCoy College of Business, Texas State University (2016)
- Coles College of Business, Kennesaw State University (2016)
- Black School of Business, Penn State Behrend (2016)
- Gies College of Business, University of Illinois at Urbana-Champaign (2015)
- Indian School of Business (2015)

Peer-Reviewed or Invited Conference Presentations

Trust-and-Evaluate: A Dynamic Non-Monetary Mechanism for Internal Capital Allocation

- 2023 INFORMS Annual Meeting
- 2023 POMS Annual Meeting
- 2022 INFORMS Annual Meeting

Three Years, Two Papers, One Course-Off: Optimal Non-Monetary Reward Policies

- 2021 INFORMS Annual Meeting
- 2021 MSOM Annual Meeting

Agile Contracting: Managing Incentives under Uncertain Needs

- 2023 POMS Annual Meeting
- 2022 POMS Annual Meeting
- 2021 POMS Annual Meeting

Optimal Market Integration Decisions by Policy Makers: Modeling and Analysis of Agriculture Market Data

- 2023 POMS Annual Meeting
- 2022 POMS Annual Meeting
- 2019 INFORMS Annual Meeting

Procurement with Cost and Non-Cost Attributes: Cost-Sharing Mechanisms

- 2021 POMS Annual Meeting
- 2019 POMS Annual Meeting
- 2018 MSOM Annual Meeting (Special Interest Group, Supply Chain Management)
- 2018 INFORMS Annual Meeting
- 2018 POMS Annual Meeting
- 2018 DSI Annual Meeting
- 2017 INFORMS Annual Meeting
- 2017 POMS Annual Meeting

Distressed Selling by Farmers: Model, Analysis, and Use in Policy-Making

- 2019 Water for Food Global Conference
- 2017 POMS Annual Meeting
- 2016 POMS Annual Meeting
- 2016 INFORMS Annual Meeting
- 2015 INFORMS Annual Meeting
- 2015 ISB-POMS Annual Workshop

Optimal Descending Mechanisms for Constrained Procurement

- 2015 INFORMS Annual Meeting
- 2014 MSOM Annual Meeting
- 2013 INFORMS Annual Meeting

Non Peer-Reviewed or Contributed Conference Presentations

On a Modification of the VCG Mechanism and Its Optimality

• 2014 INFORMS Annual Meeting

Understanding Knee-points in Bi-criteria Problems and Their Implications as Preferred Solution Principles:

• 2010 Swarm, Evolutionary, and Memetic Computing Conference

TEACHING EXPERIENCE

Teaching at University of Nebraska Lincoln

- SCMA 350 Business Analytics/Information Analysis (undergraduate, in-person): 10 sections in total
 - o Semesters: Fall 2018, Fall 2019, Fall 2020, Fall 2021, Fall 2022.
- SCMA 855 Prescriptive Analytics (Graduate/MBA, online): 5 sections in total
 - o Semesters: Spring 2019, Spring 2020, Spring 2021, Spring 2022, Spring 2023

Teaching at Texas State University

- QMST 2333 Business Statistics (undergraduate, in-person): 7 sections in total
 - o Semesters: Fall 2016, Spring 2017, Fall 2017, Spring 2018.
- BA 5353 Understanding and Analyzing Organizational Problems (MBA, hybrid): 5 sections in total
 - o Semesters: Fall 2017, Spring 2018.

Teaching at University of Texas at Dallas

- OPRE 3360 Managerial Methods in Decision Making Under Uncertainty (undergraduate, inperson): 2 sections in total
 - o Semesters: Fall 2014, Summer 2016
- OPRE 3310 Operations Management (undergraduate, in-person): 1 section in total
 - o Semesters: Spring 2016

Membership in Thesis Committees

• Dissertation Committee Member, Yinshi (Agnes) Gao, PhD Student of Business Administration, Pennsylvania State University (Graduation: May 2023; Dissertation Title: A Dissertation in O.E.M. Servicizing Operations)

Teaching Recognitions

- Awarded the MBA Distance Teaching Award, University of Nebraska Lincoln (2021)
- Nominated for College of Business' Distinguished Teaching Award, University of Nebraska Lincoln (2022)
- Nominated for College of Business' Distinguished Teaching Award, University of Nebraska Lincoln (2021)
- Nominated for College of Business' Distinguished Teaching Award, University of Nebraska Lincoln (2020)

SERVICE

Service to the Supply Chain Management and Analytics (SCMA) Department

- Coordinator, SCMA Guest Seminar Series (2021–22, 2022–23, 2023–24)
- Member, Faculty Search Committee, Assistant Professor of Practice position (2022–23)
- Member, Faculty Search Committee, Assistant Professor of Practice position (2019–20)

- Member, Faculty Search Committee, Tenure-track Assistant Professor position (2018–19)
- Member, Committee for Developing Supply Chain Workshops for High-School Students (2021)

Service to the College of Business

• Panelist, "How to Survive the Job Search for PhD Students", College of Business, University of Nebraska Lincoln (2019)

Service to the Profession

- Member of the Editorial Review Board at Decision Sciences Journal (since May 2023)
- Invited Referee for Academic Journal (at least 47 reviews submitted from 2018–present²):
 - Manufacturing and Service Operations Management; Production and Operations Management; Operations Research; Management Science; Journal of Operations Management; Decision Sciences; European Journal of Operational Research; Decision Analysis; Journal of Scheduling; Transportation Research Part E: Logistics and Transportation Review; Supply Chain Forum: An International Journal; IEEE Transactions on Evolutionary Computation; IEEE Transactions on Systems, Man, and Cybernetics: Systems
- Invited Referee for Academic Conference:
 - o International Conference on Information Systems, Logistics and Supply Chain in 2020
 - DSI Annual Meeting in 2019
 - o MSOM Annual Meeting in 2018
- Conference Organizing:
 - Track Co-Chair: POMS Annual Meeting in 2021
 - Session Chair (for at least 9 invited sessions from 2018–present):
 - * POMS Annual Meeting in 2023, 2021, 2019, and 2018
 - * INFORMS Annual Meeting in 2023 and 2019
 - * DSI Annual Meeting in 2018
 - Member, Scientific Committee, International Conference on Information Systems, Logistics and Supply Chain in 2020
 - o Member, Academic Organizing Committee, MSOM Annual Meeting in 2018
- Other Professional Service:
 - Invited as one of the Panelist on "Job Market Success" for the Post-Proposal Doctoral Consortium, DSI Annual Meeting in 2018
 - External reviewer for the curriculum design of the Master of Technology Program in Transportation Infrastructure and Logistic Management at Indian Institute of Technology Patna (2023)
 - Member, Faculty Search Committee, Texas State University (2017–18)

²The reviews submitted in different rounds of revisions for any given paper are counted individually.

OTHER PROFESSIONAL ACTIVITIES/ACHIEVEMENTS

Professional Development Workshops Attended

- 2023 INFORMS Business Analytics Conference
- Designing your Online Course Workshop, University of Nebraska Lincoln (2018)
- New Faculty Development Workshop, University of Nebraska Lincoln (2018–19)
- New Faculty Development Workshop, Texas State University (2016–17)

Awards and Honors

- PhD Dissertation Showcased in UTD's Spring 2018 Management Magazine
- Received Academic Excellence Award, Indian Institute of Technology Kanpur (2010)
- Received Top 1% of 27528 Candidates Certificate in Physics Olympiad, India (2003)

Mentoring and Supporting Students

• Writing Recommendation Letters for Students Applying to Graduate and Doctoral Programs (5 letters for undergraduate students and 2 letters for graduate students from 2018–present)

Competitive Grants and Scholarships

- Faculty Development (Travel) Grant (\$7700), Texas State University (2016–2017)
- Summer Research Grant (\$10000), Texas State University (2016)
- Research Enhancement Grant (\$8000), Texas State University (2016)
- Betty and Gifford Johnson Scholarship (\$2500), University of Texas at Dallas (2015)
- Graduate Studies Scholarship, University of Texas at Dallas (2011–2016)